NIH Policy Manual

3014 - NIH Intramural Human Research Protection Program

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Transmittal Notice

 Explanation of Material Transmitted: Chapters and Appendices within NIH Policy Manual 3014, NIH Human Research Protection Program (HRPP), establish responsibilities and requirements for protecting the rights and safeguarding the welfare of human subjects who participate in research conducted or supported by the Intramural Research Program (IRP) of the National Institutes of Health (NIH). The Office of Human Subjects Research Protections (OHSRP) is the lead office for the NIH HRPP. The OHSRP has updated all applicable policies to adhere to the revised DHHS Common Rule (45 CFR 46). Effective December 2019, the NIH HRPP completed a reorganization and consolidation resulting in the centralization of Institutional Review Board (IRB) operations and transition to a centralized and flexible IRB system. HRPP Policies have been revised to reflect recent regulatory changes, as well as the reorganization and consolidation described above.

NOTE: Please see NIH Policy Manual 3014-100 which describes the structure and components of the NIH HRPP and OHSRP. Taken together with the HRPP Policies, Policy Manual 3014-100 will fully supersede Manual Chapter 3014, dated 5/17/2005. Until the revised policies are fully implemented, the remaining HRPP Standard Operating Procedures (SOPs) remain in effect until superseded. The current policies, SOPs, and supplemental information can be found at: <u>https://irbo.nih.gov/confluence/display/ohsrp/Policy</u>.This policy establishes responsibilities and procedures for protecting the rights and safeguarding the welfare of human subjects who participate in research conducted or supported by the Intramural Research Program (IRP) of the National Institutes of Health (NIH).

2. Filing Instructions:

- Remove: NIH Manual Chapter 3014, dated 5/17/2005.
- Insert: NIH Manual Chapter 3014, dated 10/08/2020.

3. PLEASE NOTE:

- For information about this chapter, and its subcomponents please contact the issuing office above.
- For information regarding the NIH Policy Manual, go to <u>https://oma.od.nih.gov/DMS/Pages/Manual-Chapters.aspx</u>

HRPP Policy Development Requirements

• <u>3014-001 – NIH Human Research Protection Program (HRPP) Policy Development</u>

3014-100 Series – Institutional Authorities and Requirements

- <u>3014-100 NIH Intramural Research Program's Human Research Protection Program</u>
- <u>3014-101 Organizational Structure of the OHSRP</u>
- <u>3014-102 Institutional and Investigator Financial Conflict of Interest in Human</u> <u>Subjects Research</u>
- <u>3014-103 Education Program</u>
- <u>3014-104 Managing Research-Related Complaints from Research Subjects</u>
- <u>3014-105 IRB Reliance and Collaborative Research</u>
- <u>3014-106 Ancillary Reviews</u>
- <u>3014-107 Privacy and Confidentiality</u>
- <u>3014-108 Quality Assurance and Quality Improvement Program for the NIH IRB</u>
- <u>3014-109 Coverage Under the NIH Federalwide Assurance</u>

3014-200 Series – IRB Authorities and Requirements

- <u>3014-200 IRB Scope and Authority</u>
- <u>3014-201 IRB Membership and Composition</u>
- <u>3014-202 Board Member Financial Conflict of Interest</u>
- <u>3014-203 Support of IRB Operations</u>
- <u>3014-204 Levels of IRB Review and Criteria for IRB Approval of Research</u>
- <u>3014-205 Requirements for IRB Submissions</u>
- <u>3014-206 Maintenance of Records</u>
- <u>3014-207 Public Health Emergency Research Review Board (PHERRB)</u>

3014-300 Series – Investigator Responsibilities

- <u>3014-300 Investigator Responsibilities</u>
- <u>3014-301 Informed Consent</u>
- <u>3014-302 Subject Recruitment and Compensation</u>
- <u>3014-303</u> Intramural Research Program Telehealth Requirements

3014-400 Series – Regulatory Protections for Vulnerable Populations

- <u>3014-400 Research Involving Pregnant Women, Human Fetuses and Neonates</u>
- <u>3014-401 Research Involving Prisoners</u>
- <u>3014-402 Research Involving Children</u>
- <u>3014-403 Research Involving Adults Who Lack Decision-making Capacity to</u> <u>Consent to Research Participation</u>
- <u>3014-404 Research Involving NIH Staff as Subjects</u>

3014-500 Series – FDA Requirements for Human Subjects Research and Data and Safety Monitoring

- <u>3014-500 Research Involving Drugs, Biological, and Nutritional Products</u>
- <u>3014-501 Research Involving FDA Regulated Devices</u>
- <u>3014-502 Expanded Access, Including Emergency Use of Investigational Drugs,</u> <u>Biologics, and Medical Devices (Test Articles)</u>
- <u>3014-503 Data and Safety Monitoring</u>

3014-700 Series – International Research Requirements

• <u>3014-700 – International Research</u>

3014-800 Series – Compliance and Research Event Reporting Requirements

- <u>3014-801 Reporting Research Events</u>
- <u>3014-802 Non-Compliance in Human Subjects Research</u>